

PATENT APPLICATION TRANSMITTAL LETTER

TO THE ASSISTANT DIRECTOR OF PATENTS:

Transmitted herewith for filing is the patent application identified as follows:

Inventors: Alan COLE and John CASSELLS

Title: Methods and Systems for Collateral Matching and Mark to Market Reconciliation

Enclosed are:

Forty (40) Sheets of Specification, including ten (10) sheets of claims and an Abstract
Twenty-two (22) Sheets of Drawings (Figures 1-14)
Declaration and Power of Attorney for Patent Application (Unsigned)
Transmittal of Application Under 37 CFR 1.41(c)

The filing fee has been calculated as follows:

CLAIMS AS FILED				
	Number Filed/Paid For	Number Extra	Rate	Fee
BASIC FEE	-----		\$760	\$760
TOTAL CLAIMS	34/20	14	\$18	\$252
INDEPENDENT CLAIMS	5/3	2	\$78	\$156
MULTIPLE DEPENDENT CLAIM PRESENT - NO			\$260	\$ 0
FEE TOTAL				\$1,168

Enclosed is a check in the amount of \$1,168 to cover the filing fees. The Director is hereby authorized to charge any additional fees required by this action, or credit any overpayment to Deposit Account No. 50-1458. A duplicate of this sheet is attached for that purpose.

Please address all correspondence and telephone calls in connection with this application to:

George T. Marcou, Esq.
Kilpatrick Stockton LLP
700 13th Street, NW, Suite 800
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(202) 508-5800 (Phone)
(202) 508-5858 (Facsimile)

Respectfully Submitted,

Date: July 28, 2000

Bambi F. Walters
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George T. Marcou, Reg. No. 33,014

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C0464-192226
WINLIB01:836690.1
Express Mail No. EL 507833285 US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Alan COLE and John CASSELLS
 Serial No. : To be Assigned
 Filing Date : July 28, 2000
 For : Methods and Systems for Collateral Matching and Mark to Market Reconciliation

Assistant Director for Patents
 Box: PATENT APPLICATION
 Washington, D.C. 20231

TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)

Sir:

The undersigned attorney hereby makes application for U.S. Letters Patent on behalf of Applicants Alan COLE and John CASSELLS, whose addresses and citizenship are as follows:

Inventor	Address	Citizenship
Alan Cole	1466 Clinton Drive, Yardley, PA 19067	USA
John Cassells	The Linhay, Windhill, Bishop's Stortford, Herts, CM23 2NG	UK

Applicants claim the benefit, under 35 USC § 119, of U.S. Provisional Application Serial Number US Provisional Application No. 60/146,569 filed July 30, 1999, entitled "System And Method For Mark to Market Reconciliation."

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jc873 U.S. PTO
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jc896 U.S. PTO
 07/28/00

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number: EL 507833494 US

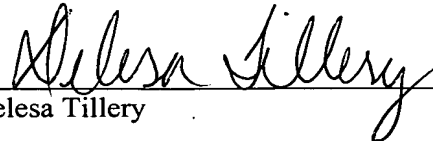
Date of Deposit: July 28, 2000

Type of Document(s): Forty (40) Sheets of Specification,
Abstract, and Claims;
Twenty-two (22) pages of drawings
(Figures 1-14);
Declaration and Power of Attorney
(Unsigned);
Transmittal of Application Under 37
CFR 1.41(c);
Patent Application Transmittal Letter;
Check in the amount of \$1,168.00;
and
Return postcard

Serial No.: Unassigned

Date Filed: Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Delesa Tillery

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